




## St Augustine's Catholic High School

# Examinations Policy

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Head of School G T O'Connor		12.12.18
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# Saint Augustine's

CATHOLIC HIGH SCHOOL & SIXTH FORM CENTRE

*A Christ centred learning community committed to the development of the whole person*



## EXAMINATIONS POLICY

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The purpose of this exams policy is:

- to ensure the planning and management of exams is conducted efficiently and in the best interests of candidates.
- to ensure the operation of an efficient exams system with clear guidelines for all relevant staff.

It is the responsibility of everyone involved in the centre's exam processes to read, understand, and implement this policy.

The exams policy will be reviewed every year.

The exams policy will be reviewed by the Examinations Officer/s.

Where references are made to JCQ regulations/guidelines, further details can be found at [www.jcq.org.uk](http://www.jcq.org.uk).

### Exam responsibilities

#### The Head of Centre:

- has overall responsibility for the school/college as an exams centre and advises on appeals and re-marks.
- is responsible for reporting all suspected or actual incidents of malpractice - refer to the JCQ document *Suspected malpractice in examinations and assessments*.

### **Exams officer/s<sup>1</sup>:**

- manages the administration of internal exams and external exams.
- manages all vocational qualification registrations, entries, transfers and withdrawals
- advises the senior leadership team, subject and class tutors, and other relevant support staff on annual exams timetables and procedures as set by the various awarding bodies.
- oversees the production and distribution to all centre staff and candidates, of an annual calendar for all exams in which candidates will be involved and communicates regularly with staff concerning imminent deadlines and events.
- ensures that candidates and their parents/carers are informed of and understand those aspects of the exams timetable that will affect them.
- checks with teaching staff that the necessary coursework and/or controlled assessments are completed on time and in accordance with JCQ guidelines.
- provides and confirms detailed data on estimated entries.
- maintains systems and processes to support the timely entry of candidates for their exams.
- receives, checks and stores securely all exam papers and completed scripts and ensures that scripts are dispatched as per the guidelines.
- administers access arrangements and makes applications for special consideration following the regulations in the JCQ publications for Access arrangements, reasonable adjustments and special consideration.
- identifies and manages exam timetable clashes.
- accounts for income and expenditures relating to all exam costs/charges.
- line manages and is responsible for the training and monitoring of a team of exams invigilators responsible for the conduct of exams.
- ensures candidates' coursework/controlled assessment marks are submitted, and any other material required by the appropriate awarding bodies correctly and on schedule.
- tracks, dispatches, and stores returned coursework/controlled assessments.
- arranges for dissemination of exam results and certificates to candidates and forwards, in consultation with the SLT, any post results service requests.

### **Quality Nominee** is responsible for:

- Ensuring effective management of the BTEC programmes and actively promoting good practice within the centre.
- Ensuring that all centre staff adhere to BTEC regulations and update them where appropriate.

The Quality Nominee is our point of contact at your centre for quality assurance.

### **Heads of Department** are responsible for:

- guidance and pastoral oversight of candidates who are unsure about exams entries or amendments to entries.
- accurate completion of entry/registration and all other mark sheets and adherence to deadlines as set by the exams officer.
- accurate completion of coursework/controlled assessment mark sheets and declaration sheets.
- decisions on post-results procedures.

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<sup>1</sup> This is the individual to whom the Head of Centre has delegated responsibility for the administration of exams in their centre.

**Teachers** are responsible for:

- supplying information on entries/registrations, NEA (non-examination assessment marks) and controlled assessments as required by the head of department and/or exams officer.

The **Special Educational Needs Co-ordinator (SENCo)** is responsible for:

- identification and testing of candidates' requirements for access arrangements and notifying the exams officer in good time so that they are able to process any necessary applications in order to gain approval (if required).
- working with the exams officer to provide the access arrangements required by candidates in exams rooms.

**Invigilators** are responsible for:

- assisting the exams officer in the efficient running of exams according to JCQ regulations.
- collection of all exam papers in the correct order at the end of the exam and ensuring their return to the exams office.
- distribution of relevant materials/equipment during examinations.

**Candidates** are responsible for:

- confirmation and checking of entries.
- understanding coursework/controlled assessment regulations and signing a declaration that authenticates the coursework as their own.
- ensuring the conduct themselves in all exams according to the JCQ regulations.

### **Qualifications offered including BTEC/vocational subjects**

The qualifications offered at this centre are decided by the Senior Leadership Team with agreement of the Head of School/Executive Principal.

The types of qualifications offered are General Certificates of Education (GCE), General Certificates of Secondary Education (GCSE), a range of vocational qualifications which include BTEC Levels 1-3, Cambridge National's and Foundation Level qualifications.

The subjects offered for these qualifications in any academic year may be found in the centre's published prospectus or similar documents for that year. If there is to be a change of specification for the next year, the exams office must be informed by 1<sup>st</sup> July.

Informing the exams office of changes to a specification is the responsibility of the Head of Department.

Decisions on whether a candidate should be entered for a particular subject will be taken by Head of Department in consultation with the relevant member of the Senior Leadership Team.

## **Exam series**

Internal exams (mock exams) and assessments are scheduled throughout the year as appropriate.

External exams and assessments are scheduled in November, January, March and May/June.

Internal exams are held under external exam conditions.

The Head of Department in agreement with the Head of School decides which exam series are used in the centre.

The centre does offer certain BTEC assessments on an on-demand basis. If offered, on-demand assessments can be scheduled only in windows agreed between the Exams Officer and the Head of Department.

## **Exam timetables**

Once confirmed, the exams officer/s will circulate the exam timetables for internal and external exams at a specified date before each series begins.

## **Key Dates and Actions**

The exams officer will adhere to the exam boards deadlines for making entries, registrations, amendments and withdrawals.

The exams officer will apply for access arrangements and modified papers in conjunction with the SENCO by the awarding body deadlines.

## **Entries, registrations, withdrawals and certifications**

The exams officer will process all entries for the centre in a timely manner including all vocational registrations, transfers, withdrawals and certifications.

Candidates or parents/carers can in agreement with the Head of Department or Senior Leadership Team request a subject entry, change of level or withdrawal.

The centre does not accept entries from private candidates, unless they are ex-students who have left the school within 1 year of the requested entry at the agreement of the Exams Officer/s.

The centre does not act as an exams centre for other organisations.

Entry/registration deadlines are circulated to heads of department via internal post/pigeon hole and via email.

Heads of department/curriculum will provide estimated entry information to the exams officer to meet JCQ and awarding body deadlines.

Entries and amendments made after an awarding organisation's deadline (ie late) require the authorisation, in writing, of the Head of Department and the late fee may be charged to the Department budget as appropriate.

GCSE/unit and vocational re-sits are allowed in agreement with the Head of Department and Principal.

A2 re-sits are allowed in agreement with the Head of Sixth Form.

The exams officer is responsible for submitting the relevant certifications for students completing their BTEC/vocational courses by the designated awarding body deadline.

### **Exam fees**

Candidates or departments will not be charged for changes of tier, withdrawals made by the proper procedures or alterations arising from administrative processes provided these are made within the time allowed by the awarding bodies.

The Exams Officer/s will publish the deadline for action well in advance for each exams series.

GCSE entry exam fees are paid by the centre.

BTEC registration fees and other vocational registration fees are paid by the centre.

A2 entry exam fees are paid by the centre.

Late entry/registration or amendment fees are paid by the centre, however can be charged to departments if resulting from administrative errors/oversights or charged to the candidate for very late tier changes or re-sit entries past the published deadline.

Fee reimbursements are sought from candidates (under the advisement of the Senior Leadership Team) if they fail to sit a GCSE/BTEC/vocational or GCE exam.

Re-sit fees are paid by the centre for Key Stage 4 qualifications and by candidates for Key Stage 5 qualifications.

### **Equality Legislation**

All exam centre staff must ensure that they meet the requirements of any equality legislation.

The centre will comply with the legislation, including making reasonable adjustments to the service that they provide candidates in accordance with requirements defined by the legislation, awarding bodies, and JCQ. This is the responsibility of the Examinations Officer under the advisement of the Senior Leadership Team.

## **Access Arrangements**

The SENCo will inform subject teachers of candidates with special educational needs and any special arrangements that individual candidates will need during the course and in any assessments/exams.

A candidate's access arrangements requirement is determined by the SENCo in agreement with the Examination Officer.

Ensuring there is appropriate evidence for a candidate's access arrangement is the responsibility of the SENCo.

Submitting completed access arrangement applications to the awarding bodies is the responsibility of the Examinations Officer.

Rooming for access arrangement candidates will be arranged by the Examinations Officer.

Invigilation and support for access arrangement candidates, as defined in the JCQ access arrangements regulations, will be organised by the Examinations Officer with the help of the Learning Support Team.

## **Contingency Planning**

Contingency planning for exams administration is the responsibility of the Examinations Officer.

Contingency plans are in Appx1 at the end of this document and are in line with the guidance provided by Ofqual, JCQ and awarding organisations.

## **Managing Invigilators**

Invigilators will be used for internal exams and external exams.

Recruitment of invigilators is the responsibility of the HR Officer.

Securing the necessary Disclosure and Barring Service (DBS) clearance for new invigilators is the responsibility of the HR Officer.

DBS fees for securing such clearance are paid by the centre.

Invigilators rates of pay are set in accordance with the School Pay Policy.

Invigilators are timetabled, trained, briefed and managed by the Examinations Officer/s.

## **Malpractice**

The head of centre in consultation with the Exams Officer/s is responsible for investigating suspected malpractice.

## **Exam Days**

The Exams Officer/s will book all exam rooms after liaison with other users and make the question papers, other exam stationery, and materials available for the invigilator.

Site management staff is responsible for setting up and dismantling the allocated rooms, and will be advised of requirements at least 3 weeks in advance.

The Exams Officer/s or invigilator at the request of the Exams Officer/s will start and finish all exams in accordance with JCQ guidelines.

Subject staff may be present at the start of the exam to assist with identification of candidates. Any staff present must be in accordance with the rules defined by JCQ concerning who is allowed and what they can do.

In practical exams, subject teachers' availability will be in accordance with JCQ guidelines.

Exam papers must not be read by subject teachers or removed from the exam room before the end of a session. Papers will be distributed to heads of department in accordance with JCQ's recommendations and no later than 24 hours after candidates have completed it.

After an exam, the Exams Officer/s will arrange for the safe dispatch of completed examination scripts to awarding bodies, working in conjunction with the Examinations and Data Administrator.

## **Candidates**

The Exams Officer/s will provide written information to candidates in advance of each exam series. A formal briefing session for candidates may be given by the Senior Leadership Team.

The centre's published rules on acceptable dress and behaviour apply at all times. Candidates' personal belongings remain their own responsibility and the centre accepts no liability for their loss or damage.

In an exam room candidates must not have access to items other than those clearly allowed in the instructions on the question paper, the stationery list, or the specification for that subject. This is particularly true of mobile phones, smart watches and other electronic communication or storage devices with text or digital facilities. Any precluded items must not be taken into an exam room.

Candidates will be asked at the start of exams to remove wrist watches and place on their desks. Candidates are permitted water bottles within the exam room providing all labelling has been removed.

Disruptive candidates are dealt with in accordance with JCQ guidelines. Candidates are expected to stay for the full exam time at the discretion of the Examinations Officer.

Note: candidates who leave an exam room must be accompanied by an appropriate member of staff at all times.



The Examinations Officer/s is responsible for handling late or absent candidates on exam day.

Students arriving late to an examination within 1 hour of the JCQ Official start time will be allowed to sit the exam with the full time allowance. Any students arriving very late will be allowed to sit the examination at the discretion of the Exams Officer/s.

### **Clash Candidates**

The Examinations Officer/s will be responsible as necessary for supervising escorts, identifying a secure venue and arranging overnight stays.

### **Special Consideration**

Should a candidate be unable to attend an exam because of illness, suffer bereavement or other trauma, be ill or otherwise disadvantaged or disturbed during an exam, then it is the candidate's responsibility to alert the centre's Exams Officer to that effect.

The candidate must support any special consideration claim with appropriate evidence within 6 days of the exam.

The Exams Officer/s will make a special consideration application to the relevant awarding body within 7 days of the exam.

### **Internal Assessment**

It is the duty of Heads of Department to ensure that all internal assessment is ready for dispatch at the correct time. The exams officer will assist by keeping a record of each dispatch, including the recipient details and the date and time sent.

Marks for all internally assessed work are provided to the exams office a week prior to the Awarding Body deadline. The exams officer will inform staff of the date when appeals against internal assessments must be made by. Any appeals will be dealt with in accordance with the centre's Internal Appeals Procedure (IAP) document.

It is the duty of the subject teacher to inform all candidates of their internally assessed marks prior to submission to the exam board within a suitable time frame to allow for any student appeals to be processed.

The submission of any internal marks electronically will be double checked by a second person within the Examinations team and a paper record of the final marks submitted will be kept for a period of time as designated by GDPR regulations.

### **Results**

Candidates will receive individual result slips on results days,

- in person at the centre
- by post to their home address - candidates to provide self-addressed envelope
- by a nominated person as stated by the candidate on the correct form prior to the end of the summer term.

The results slip will be in the form of a centre produced document.

Arrangements for the centre to be open on results days are made by the Exams Officer/s.

The provision of the necessary staff on results days is the responsibility of the Senior Leadership Team.

### **Enquiries about Results (EAR)**

EARs may be requested by centre staff or the candidate following the release of results. A request for a re-mark or clerical check requires the written consent of the candidate, a request for a re-moderation of internally assessed work may be submitted without the consent of the group of candidates.

The cost of EARs will be paid by the candidate unless agreed by the Head of Department for the cost to be covered by departmental budgets.

All decisions on whether to make an application for an EAR will be made by the candidate following advice from the Exams Officer.

If a candidate's request for an EAR is not supported, the candidate may appeal and the centre will respond by following the process in its Internal Appeals Procedure (IAP) document.

All processing of EARs will be the responsibility of the Exams Officer following the JCQ guidance.

### **Access to Scripts (ATS)**

After the release of results, candidates may ask subject staff to request the return of written exam papers within 30 days of the receipt of results.

Centre staff may also request scripts for investigation or for teaching purposes. For the latter, the consent of candidates must be obtained.

Re-marks cannot be applied for once an original script has been returned.

The cost of ATS will be paid by the candidate unless agreed by the Head of Department for the cost to be covered by departmental budgets.

Processing of requests for ATS will be the responsibility of the Exams Officer/s.

### **Certificates**

Candidates will receive their certificates

- in person at the centre, a signature will be required.

Certificates can be collected on behalf of a candidate by third parties, provided they have written authority from the candidate to do so, and bring suitable identification with them that confirms who they are.

The centre retains certificates for 1 year.

A new certificate will not be issued by an awarding organisation. A transcript of results may be issued if a candidate agrees to pay the costs incurred.